

6/

AP / IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

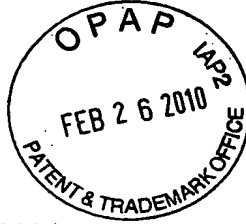
Hiroyuki YAMAZAKI

Serial No.: 10/594,307

Filing Date: September 27, 2006

For: TUNABLE LASER

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450



Group Art Unit: 2828

Examiner: Zhang, Yuanda

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.98 and pursuant to Applicant's duty of disclosure under 37 CFR §1.56, Applicant respectfully brings the following documents cited in the European Office Action in a counterpart foreign application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of some of the listed documents are provided herewith for the convenience of the Examiner. A copy of the listed U.S. Patent is not provided in compliance with *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, 1273 Off. Gaz. Pat. Office 55 (August 5, 2003). For the convenience of the Examiner, a copy of the first page of the listed U.S. Patent is provided. It is noted that U.S. Patent No. 6,891,865 B1 is the U.S. equivalent of WIPO Unexamined Patent Publication No. 03/071641 A2.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of the European Office Action citing such documents and indicating the degree of relevance found by the foreign office.

03/01/2010 HVUONG1 00000038 10594307

01 FC:1006

100.00 OP

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which Applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement (37 C.F.R. §1.704(d)).

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Submitted herewith is a check in the amount of \$180.00 to cover the Information Disclosure Statement fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



Donald A. DiPaula, Esq.  
Registration No. 58,115

Sean M. McGinn, Esq.  
Registration No. 34,386

Date: 26 February 2010  
**MCGINN INTELLECTUAL PROPERTY**  
**LAW GROUP, PLLC**  
8321 Old Courthouse Road, Suite 200  
Vienna, Virginia 22182-3817  
(703) 761-4100  
Customer No. 21254

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hiroyuki YAMAZAKI

Serial No.: 10/594,307

Filing Date: September 27, 2006

For: TUNABLE LASER



Group Art Unit: 2828

Examiner: Zhang, Yuanda

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.98 and pursuant to Applicant's duty of disclosure under 37 CFR §1.56, Applicant respectfully brings the following documents cited in the European Office Action in a counterpart foreign application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of some of the listed documents are provided herewith for the convenience of the Examiner. A copy of the listed U.S. Patent is not provided in compliance with *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, 1273 Off. Gaz. Pat. Office 55 (August 5, 2003). For the convenience of the Examiner, a copy of the first page of the listed U.S. Patent is provided. It is noted that U.S. Patent No. 6,891,865 B1 is the U.S. equivalent of WIPO Unexamined Patent Publication No. 03/071641 A2.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of the European Office Action citing such documents and indicating the degree of relevance found by the foreign office.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which Applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement (37 C.F.R. §1.704(d)).

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Submitted herewith is a check in the amount of \$180.00 to cover the Information Disclosure Statement fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



Donald A. DiPaula, Esq.  
Registration No. 58,115

Date: 26 February 2010  
**MCGINN INTELLECTUAL PROPERTY**  
**LAW GROUP, PLLC**  
8321 Old Courthouse Road, Suite 200  
Vienna, Virginia 22182-3817  
(703) 761-4100  
Customer No. 21254

Sean M. McGinn, Esq.  
Registration No. 34,386